

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

:

Kenji NARUMI

: Mail Stop: PCT

Serial No. NEW

: Attorney Docket No. 2006_1070A

Filed July 12, 2006

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OPTICAL INFORMATION RECORDING
METHOD, OPTICAL INFORMATION
RECORDING APPARATUS, AND
OPTICAL INFORMATION RECORDING
MEDIUM

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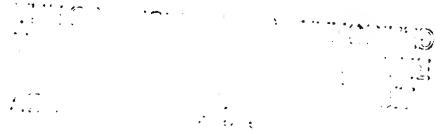
[Corresponding to PCT/JP2005/011127
Filed June 17, 2005]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450



Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- each U.S. Patent and U.S. Patent application publication;
- each reference previously cited in the international application PCT/_____; and/or
- each reference previously cited in prior parent application Serial No. _____.

1a. This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. This Information Disclosure Statement is submitted
after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) the certification of paragraph 2 below is provided, or
(2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. This Information Disclosure Statement is submitted:
after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification

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after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
 - a. a full or partial English language translation submitted herewith,
 - b. an International Search Report (in the English language) submitted herewith,
 - c. the concise explanation contained in the specification of the present application at page,
 - d. the concise explanation set forth in the attached English language abstract,
 - e. the concise explanation set forth below or on a separate sheet attached to the reference:

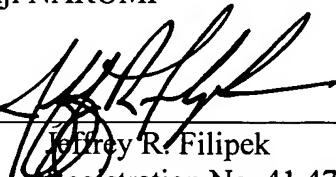
JP 2002-245624 corresponds to US 2004/0136305

5. an International Search Report citing one or more of the references is enclosed.

Respectfully submitted,

Kenji NARUMI

By


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July 12, 2006

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FORM PTO 1449 (<i>modified</i>) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i> Date Submitted to PTO: July 12, 2006			ATTY DOCKET NO. 2006_1070A		SERIAL NO. NEW		
			APPLICANT Kenji NARUMI				
			FILING DATE July 12, 2006			GROUP	
			U.S. PATENT DOCUMENTS				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2004/0136305	7-15-04	Kato et al.			
	AB	5,872,763	2-16-99	Osakabe			
	AC	6,459,666	10-1-02	Yokoi			
	AD	6,771,577	8-3-04	Yokoi			
	AF	2003/0090981	5-15-03	Yokoi			
	AF						
	AC						
	AH						
	AI						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AL	2002-203317	7-19-02	Japan			abstract
	AK	2002-245624	8-30-02	Japan			abstract
	AL	10-64064	3-6-98	Japan			abstract
	AM	8-203079	8-9-96	Japan			abstract
	AN	2001-76341	3-23-01	Japan			abstract
OTHER DOCUMENT(S) <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
	AO						
	AP						
	AQ						
EXAMINER				DATE CONSIDERED			

**EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.*